

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Steven M.H. Wallman

SERIAL NO.: 10/627,626

FILING DATE: July 28, 2003

EXAMINER: Mr. Daniel Lawson Greene

ART UNIT: 3694

TITLE: METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR
SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A
PORTFOLIO OF SECURITIES . . .

ATTY. DOCKET: 10392/460043

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office action mailed on April 2, 2009, Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks:

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 3 of this paper.